

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

New York, New York

Edward R. Howorka, et al.

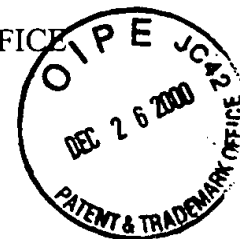
Date: December 22, 2000

Serial No.: 09/602,498

Group Art Unit: --

Filed: June 23, 2000

Examiner: --

For: ARCHITECTURE FOR AN ANONYMOUS TRADING SYSTEM

Asst. Commissioner for Patents

Washington, D.C. 20231

Attention: Application Branch

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION - FILING DATE GRANTED

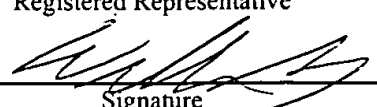
Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, mailed October 10, 2000, submitted herewith are four Inventor Declarations, one from each inventor, and our Check No. 2655 which includes the amount of \$130.00 in payment of the surcharge. A copy of the Notice to File Missing Parts is enclosed herewith.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account #15-0700.

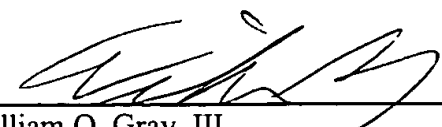
If this communication is filed after the time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. §1.136(a), to extend the time for filing a response by the number of months which will avoid abandonment under 37 C.F.R. §1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on December 22, 2000:

William O. Gray, IIIName of applicant, assignee or
Registered Representative
SignatureDecember 22, 2000

Date of Signature

Respectfully submitted,


William O. Gray, III

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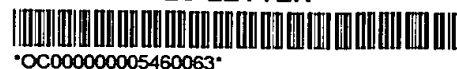
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/602,498	06/23/2000	Edward Howorka	P/3331-136

2352
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FORMALITIES LETTER



OC000000005460063

Date Mailed: 10/10/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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